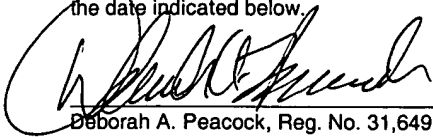




PATENT

IFW

I hereby certify that this paper is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on the date indicated below.


Deborah A. Peacock, Reg. No. 31,649

8/5/04
Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/759,750
Applicant(s) : Rodney Herrington, et al.
Filed : January 16, 2004
Title : PUMPS FOR FILTRATION SYSTEMS

TC/A.U. : 1724
Examiner : Unknown

Docket No. : 30750-1001

Commissioner for Patents
PO Box 1450
Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

SIR:

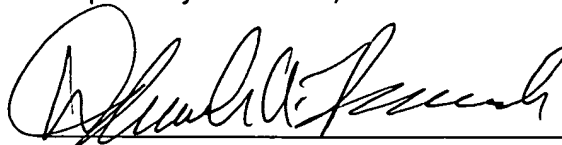
Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 submitted herewith.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior references" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

The Information Disclosure Statement submitted herewith is being filed before the mailing date of a first Office Action on the merits, Therefore, no fee or certification is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Deborah A. Peacock', written over a horizontal line.

Deborah A. Peacock, Reg. No. 31,649
Direct line: (505) 998-1501

Enclosures: PTO Form 1449
Four (4) References

Peacock, Myers & Adams, P.C.
Attorneys for Applicant
P.O. Box 26927
Albuquerque, NM 87125-6927
(505) 998-1500
FAX (505) 243-2542
Customer No. 005179



AUG 09 2004

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

PTO/SB/08A(10-01)

Approved for use through 10/31/2002. OMB 651-0031

US Patent & Trademark Office, U.S. DEPARTMENT OF COMMERCE

Complete if Known

Application Number	10/759,750
Filing Date	January 16, 2004
First Named Inventor	Herrington, Rodney
Group Art Unit	1724
Examiner Name	Unknown

Sheet 1 of 3

Attorney Docket No: 30750-1001

US PATENT DOCUMENTS

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate
	US-1,029,580	06/18/1912	Bane, James			01/01/1912
	US-1,160,528	11/16/1915	Pilkington, William			01/22/1915
	US-1,200,165	10/03/1916	Burgess, Charles			10/30/1913
	US-2,473,986	06/21/1949	Booth, George	210	185	10/18/1945
	US-3,222,269	12/07/1965	Stanton, R. E.	204	270	03/28/1962
	US-3,365,061	01/23/1968	Bray, Donald	210	130	10/04/1965
	US-3,505,215	04/07/1970	Bray, Donald	210	22	10/10/1968
	US-3,622,479	11/23/1971	Schneider, Frank	204	149	03/04/1970
	US-3,654,148	04/04/1972	Bradley, William	210	23	09/28/1970
	US-3,749,524	07/31/1973	Jordan, Donald	417	323	01/03/1972
	US-3,791,768	02/12/1974	Wanner, William	417	393	06/16/1972
	US-3,825,122	07/23/1974	Taylor, Julian	210	134	06/11/1973
	US-4,000,065	12/28/1976	Ladha, Kamal , et al.	210	23	11/18/1974
	US-4,070,280	01/24/1978	Bray, Donald			11/17/1976
	US-4,077,883	03/07/1978	Bray, Donald	210	136	12/29/1976
	US-4,124,488	11/07/1978	Wilson, Leslie	210	134	02/24/1977
	US-4,151,092	04/24/1979	Grimm, Thomas , et al.	210	256	07/11/1977
	US-4,187,173	02/05/1980	Keefer, Bowie	210	23	03/14/1978
	US-4,219,424	08/26/1980	Tamura, Takaaki , et al.	210	201	09/12/1978
	US-4,288,326	09/08/1981	Keefer, Bowie	210	637	04/06/1979
	US-4,290,873	09/22/1981	Weaver, Ron L.	204	228	05/15/1980
	US-4,306,952	12/22/1981	Jansen, Hermanus J.	204	149	05/22/1980
	US-4,321,137	03/23/1982	Kohler, Wolf-Ulrich	210	137	02/11/1980
	US-4,367,140	01/04/1983	Wilson, Leslie	210	110	10/30/1980
	US-4,389,311	06/21/1983	La Freniere, Henry	210	198	06/16/1981
	US-4,432,876	02/21/1984	Keefer, Bowie	210	652	07/30/1980
	US-4,434,056	02/28/1984	Keefer, Bowie	210	637	04/20/1981
	US-4,496,443	01/29/1985	Mack, Michael , et al.	204	130	06/08/1982
	US-4,534,713	08/13/1985	Wanner, William	417	377	08/13/1985
	US-4,560,455	12/24/1985	Porta, Augusto , et al.	204	130	02/01/1984
	US-4,632,754	12/30/1986	Wood, Robert	210	257.2	10/11/1983
	US-4,722,263	02/02/1988	Valentin, Ingo	92	13.7	04/11/1986
	US-4,724,079	02/09/1988	Sale, Gloria , et al.	210	638	01/11/1985
	US-4,744,877	05/17/1988	Maddock, Robert E.	204	266	05/14/1987
	US-4,756,830	07/12/1988	Fredkin, Edward	210	321.66	05/18/1987
	US-4,759,844	07/26/1988	Lipschultz, Mitchell , et al.	210	257.2	03/21/1986
	US-4,761,208	08/02/1988	Gram, Helen R., et al.	204	95	09/29/1986

EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)



Complete if Known

Application Number	10/759,750
Filing Date	January 16, 2004
First Named Inventor	Herrington, Rodney
Group Art Unit	1724
Examiner Name	Unknown

Sheet 2 of 3

Attorney Docket No: 30750-1001

	US-4,765,807	08/23/1988	Henriksen, Norolf	55	51	12/04/1986
	US-4,786,380	11/22/1988	van Duin, Pieter J., et al.	204	95	04/09/1986
	US-4,790,923	12/13/1988	Stillman, Neil W.	204	268	07/07/1987
	US-4,790,946	12/13/1988	Jansen, Hermanus J.	210	748	08/27/1986
	US-4,836,924	06/06/1989	Solomon, Donald	210	321.87	10/21/1987
	US-4,973,408	11/27/1990	Keefer, Bowie	210	652	02/23/1989
	US-4,976,842	12/11/1990	Fowler, James A.			05/01/1989
	US-5,006,352	04/09/1991	Zelenak nee Zoltai, Maria , et al.	426	67	02/26/1988
	US-5,085,753	02/04/1992	Sherman, Mark	204	267	04/17/1990
	US-5,207,916	05/04/1993	Goheen, Duane , et al.	210	637	05/20/1992
	US-5,244,579	09/14/1993	Horner, Kenneth , et al.	210	652	10/09/1992
	US-5,295,519	03/22/1994	Baker, Henry , et al.	141	18	05/06/1993
	US-5,306,428	04/26/1994	Tonner, John	210	652	10/29/1992
	US-5,320,718	06/14/1994	Molter, Trent M., et al.	204	101	08/07/1990
	US-5,324,666	06/28/1994	Siepmann, Friedrich W., et al.	436	62	04/08/1989
	US-5,358,635	10/25/1994	Frank, Marlin , et al.			04/16/1993
	US-5,480,386	01/02/1996	Brohy, Michael	604	131	09/14/1993
	US-5,492,534	02/20/1996	Athayde, Amulya , et al.	604	141	05/20/1994
	US-5,496,466	03/05/1996	Gray, Buddy	210	137	09/14/1993
	US-5,503,736	04/02/1996	Schoenmeyr, Ivar	210	91	04/28/1994
	US-5,531,887	07/02/1996	Miers, Gary	210	135	05/24/1995
	US-5,534,145	07/09/1996	Platter, Sanford , et al.	210	90	08/15/1994
	US-5,540,355	07/30/1996	Hancock, Mark , et al.	222	56	12/28/1992
	US-5,540,848	07/30/1996	Engelhard, Rolf	210	748	12/13/1994
	US-5,558,762	09/24/1996	Fife, James , et al.	210	130	05/26/1994
	US-5,581,189	12/03/1996	Brenn, Eric W.	324	439	09/02/1994
	US-5,597,482	01/28/1997	Melyon, Sally	210	209	04/25/1995
	US-5,647,416	07/15/1997	Desrosiers, Andre , et al.	141	351	03/15/1996
	US-5,685,980	11/11/1997	Patapoff, Walter , et al.	210	244	03/07/1996
	US-5,699,669	12/23/1997	Gebhard, Albert	42	3.64	07/15/1996
	US-5,725,758	03/10/1998	Chace, Allan , et al.	210	85	08/22/1996
	US-5,795,459	08/18/1998	Sweeney, Charles	205	701	07/29/1996
	US-5,900,212	05/04/1999	Maiden, Miles , et al.	422	24	01/27/1997
	US-5,911,870	06/15/1999	Hough, Gary	205	701	04/11/1997

EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/759,750
Filing Date	January 16, 2004
First Named Inventor	Herrington, Rodney
Group Art Unit	1724
Examiner Name	Unknown

Sheet 3 of 3

Attorney Docket No: 30750-1001

	US-5,928,490	07/27/1999	Sweeney, Charles	205	700	11/10/1997
	US-5,958,229	09/28/1999	Filiopoulos, George , et al.	210	206	03/27/1998
	US-5,989,396	11/23/1999	Prasnikar, Albert R., et al.	204	290	04/01/1998
	US-6,007,686	12/28/1999	Welch, William D., et al.	204	230.2	04/16/1996
	US-6,017,447	01/25/2000	Wright, R. G., et al.	210	192	07/29/1998
	US-6,110,424	08/29/2000	Maiden, Miles , et al.	422	24	02/23/1999
	US-6,180,014	01/30/2001	Salama, Amir	210	748	12/01/1999
	US-6,197,189	03/06/2001	Schwartz, Henry , et al.	210	192	10/28/1998
	US-6,203,696	03/20/2001	Pearson, Colin	210	98	05/20/1999
	US-6,524,475	02/25/2003	Herrington, Rodney , et al.	210	192	05/24/2000
	US-6,558,537	05/06/2003	Herrington, Rodney , et al.	210	192	10/10/2000
	US-6,736,966	05/18/2004	Herrington, Rodney , et al.	210	192	07/16/2001
	US-R,E32,144	05/13/1986	Keefer, Bowie	210	637	02/05/1982
	US-R,E33,135	12/26/1989	Wanner, Sr., William , et al.	417	377	08/10/1987

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T ²
	WO-WO 95/29130	11/02/1995	Life Natural Mineral Water,			

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		"Steri-Pen", Internet advertisement by Hydro-Photon, www.hydro-photon.com , (May 9, 2000),	
		GUPTA, B.B. , et al., "Permeate flux enhancement by pressure and flow pulsations in microfiltration with mineral membranes", <u>Journal of Membrane Science</u> 70, 70,(1992),257-266	
		VENCZEL, L.V. , "Inactivation of Cryptosporidium parvum Oocysts and Clostridium perfringens Spores by a Mixed-Oxidant Disinfectant and by Free Chlorine", <u>Applied and Environmental Microbiology</u> , Vol. 63, No. 4, (Apr. 1997),1598-1601	

EXAMINER**DATE CONSIDERED**

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional) ² Applicant is to place a check mark here if English language Translation is attached